

2151
#6
ch
PATENT 0720-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 28, 2002.

Daryl A. Albus

Daryl A. Albus

Applicant : Samuel T. Barone, Jr., et al.
Application No. : 09/840,497
Filed : April 23, 2001
Title : SYSTEM AND METHOD FOR MERGING
INTERACTIVE TELEVISION DATA WITH CLOSED
CAPTION DATA

Grp./Div. : 2151
Examiner : to be assigned

Docket No. : 42244/JEC/M770

RECEIVED
FEB 12 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
January 28, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant.

Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

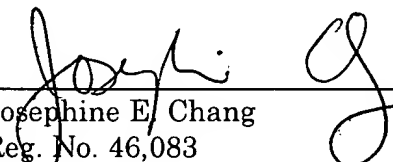
Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application No.09/840,497

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

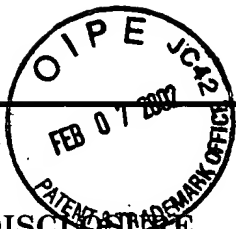
By 
Josephine E. Chang
Reg. No. 46,083
626/795-9900

JEC/daa

Enclosures: PTO 1449, w/references

DAA PAS410889.1-* 1/27/02 11:44 AM

FORM PTO-1449



INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	42244/JEC/M770
Application Number	09/840,497
Filing Date	April 23, 2001
Applicant(s)	Samuel T. Barone, Jr., et al.
Group Art Unit	2151
Examiner Name	to be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,850,218	12/1998	LaJoie et al.	345	327	
	5,990,927	11/1999	Hendricks et al.	348	6	
	6,002,394	12/1999	Schein et al.	345	327	

RECEIVED

FEB 12 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	PCT International Search Report dated December 27, 2001 from corresponding PCT application No. PCT/US01/12961 filed 23 April 2001

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/daa

DAA PAS410890.1*-1/27/02 11:47 AM